

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : (To Be Assigned) Confirmation No. : (TBA)  
PCT/EP2003/013419  
First Named Inventor : Johann BAUMGARTNER  
Filed : June 1, 2005  
TC/A.U. : (To Be Assigned)  
Examiner : Unassigned  
Docket No. : 037068.56210US  
Customer No. : 23911  
Title : Disc Brake Having an Electromotively Actuated  
Adjusting Device, and a Control Method For Same

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R § § 1.97 AND 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

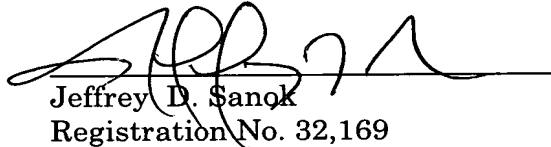
Copies of the references listed on the attached form PTO-1449 have already been provided by the International Searching Authority, therefore, duplicate copies are not attached hereto. Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

June 1, 2005

  
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Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>			<p style="text-align: right;"><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">(To Be Assigned) <b>60/537272</b></td> </tr> <tr> <td>Filing Date</td> <td>June 1, 2005</td> </tr> <tr> <td>First Named Inventor</td> <td>Johann BAUMGARTNER</td> </tr> <tr> <td>Art Unit</td> <td>(To Be Assigned)</td> </tr> <tr> <td>Examiner Name</td> <td>(To Be Assigned)</td> </tr> <tr> <td>Attorney Docket Number</td> <td>037068.56210US</td> </tr> </table>		Application Number	(To Be Assigned) <b>60/537272</b>	Filing Date	June 1, 2005	First Named Inventor	Johann BAUMGARTNER	Art Unit	(To Be Assigned)	Examiner Name	(To Be Assigned)	Attorney Docket Number	037068.56210US
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Sheet	1	of	1													

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	AA	US- 4,381,049	A	04/26/1983	Richard L. Crossman	
	AB	US- 5,366,280	A	11/22/1994	Deron C. Littlejohn	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)				
	AC	WO 02/14708 A2	02/21/2002	Knorr Bremse Systeme, Fuer Fahr Zeuge GMBH		AB
	AD	WO 01/40672 A1	06/07/2001	Haldex Brake Products AB		AB

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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